PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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DA-

ENG	CLOSURES (check all that apply)		
Pages in This Submission	Attorney Docket Number	034299-698	
(to be used wall correspondence after initial filing)	Examiner Name	(to be assigned)	
OCI 29 Jan 1	Art Unit	(to be assigned)	
	First Named Inventor	Florence Rivera et al.	
TRANSMITTAL FORM	Filing Date	May 23, 2006	
TDANGMITTAL	Application Number	10/580,453	

(to be used All correspondence after i	initial filing)	Examiner Name		(to be assigned)
Pages in This Submission		Attorney Docket Nu	mber	034299-698
ENCLOSURES (check all that apply)				
Fee Transmittal Form	Drawing(s)		After Allowance Communication to TC	
Fee Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Petition to Acknowledge Original 371 Application Filing Date		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final		Convert to a all Application		Proprietary Information
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Addre	ess	Status Letter
Extension of Time Request	Terminal I	Disclaimer		Other Enclosure(s) (please identify below):
□ c	Request f	or Refund		Return Postcard
Express Abandonment Request	CD, Numb	per of CD(s)		*See Remarks for Enclosures
☐ Information Disclosure Statement	☐ Lan	dscape Table on CD		
Certified Copy of Priority Document(s)				on as originally filed and postcard
Reply to Missing Parts/	-	eipt by USPTO of 371 fi	-	5/23/06; esponse thereto (including copies of
Incomplete Application	Declaration,	Power of Attorney, Tra	nsmittal	Letter, postcard indicating receipt by the
Reply to Missing Parts under 37 CFR1.52 or 1.53		iginal filing), Transmitt if Response on 4/2/07;	al Letter	and copy of postcard showing receipt by
undo: 57 51 1(1.52 51 1.55	3. Notice of A	Acceptance of Applicat		
	4. Filing Rec	eipt (with needed corre	ections i	ndicated in red ink).
SIGI	NATURE OF	APPLICANT, ATTOR	NEY, O	R AGENT
Firm Name	THELEN REID	BROWN RAYSMAN & STE	INER LLP	
Signature	SR	flat		
Printed Name	Suvashis Bhatta	acharya		
Date	October 23, 2	2007	Reg. No.	46,554
	CERTIFICA	TE OF TRANSMISSI	ON/MAI	LING
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal				

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

example, VV 22010 1400 on the date shown below.					
Signature	Shacon & Byan				
Typed or printed name	Sharon E. Byam	Date	October <u> </u>		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN-THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Florence Rivera et al.

SERIAL NO.:

10/580,453

FILING DATE:

May 23, 2006

TITLE:

IN VIVO DIAGNOSTIC AND THERAPY MICRO-DEVICE

EXAMINER:

(to be assigned)

ART UNIT:

(to be assigned)

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: M/S Petitions, Commissioner for Patents, Washington, DC 20231, on the date printed below:

Date: 10/36/07

Name:

Charan E Ruam

M/S Petitions COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

PETITION TO ACKNOWLEDGE ORIGINAL 371 APPLICATION FILING DATE AS OFFICIAL FILING DATE

Upon reviewing the enclosed documents, it is clear that all requirements were met with the original filing of the above-captioned patent application under 35 U.S.C. 371. Therefore, it is respectfully requested that the filing date of May 23, 2006 be assigned to the above-captioned application instead of the incorrect date, April 2, 2007, as recently assigned on the Notice of Acceptance of Application and Filing Receipt (copies enclosed).

The Declaration, Power of Attorney and Petition was originally filed with the application on May 23, 2006 and acknowledged as being received by the U.S. Patent Office. Proof of acknowledgement is shown on the enclosed postcard, stamped by the PCT Office of the U.S. Patent Office.

Docket No. 034299-698

Although the Declaration and Power of Attorney fully identified the application by the

International Application number and the International filing date, a "Notification of Missing

Requirements Under 35 U.S.C. 371" was mailed out by the Patent Office on February 21, 2007,

which stated that this information was <u>not</u> supplied on the Oath or Declaration at the time of

filing. Applicant's agent responded with a copy of the originally filed Declaration & Power of

Attorney, postcard, transmittal letter, and a responsive explanation on March 30, 3007. A copy

of the postcard indicating receipt of these documents on April 2, 2007 is enclosed for your

convenience, as well as the previously filed documents.

It is respectfully requested that a new Notice of Acceptance and Filing Receipt be issued

with a filing date of May 23, 2006, the original filing date of this patent application.

Further, the title of the invention should be corrected on the Filing Receipt to read,

"In Vivo Diagnostic and Therapy Micro-Device".

In, in the opinion of the Examiner, an interview would expedite the issues as set forth

above, the Examiner is invited to call the undersigned attorney at the number indicated below.

Respectfully submitted,

Dated:

Suvashis Bhattacharya

Reg. No. 46,554

Thelen Reid Brown Raysman & Steiner LLP

P.O. Box 640640

San Jose, CA 95164-0640

Tel. (408) 292-5800

Fax. (408) 287-8040

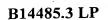
2





JOCKETED JUN 12 2008

Title: IN VIVO DIAGNOSTIC AND THERAPY MICRO-I	DEVICE
Al Al	27 Roc'd PCTIPTO 23 MAY 2000
TRP Docket No.: 034299-000698	Atty/Secty Initials: REK/lh
Date Mailed:	Docket Due Date: 5/27/06
The following has been received in the U.S. Patent & Trade	emark Office on the date stamped hereon:
Amendment/Response (pgs.)	☐ IDS & PTO 1449 (pgs.)
Appeal Brief (pgs.) (in triplicate)	Pieces of Prior Art Enclosed
Application - Utility (25 pgs. with cover & abstract)	Issue Fee Transmittal
Application – Rule 1.53(b) Continuation (pgs.)	Submission of Formal Drawings:
Application - Rule 1.53(b) Division (pgs.)	10 # of sheets includes 11 figures
Application Rule 1.53(b) CIP (pgs.)	Notice of Appeal
Application Rule 1.53(d) CPA (pgs.)	∑ Postcard ☐ Postcard
Application - PCT (pgs)	Preliminary Amendment (3 pgs.)
Application – Provisional (pgs.)	Reply Brief (pgs.)
Assignment and Cover Sheet	Req and Cert. Not to Publish – Rule 1.213
Certificate of Correction	Request for Continued Examination (RCE) (pgs.)
Certificate of Mailing	Request for Extension of Time Month(s)
Declaration & POA (3 pgs.)	Response to Notice to File Missing Parts
☐ Fee Transmittal	Copy of PTO Notice to File Missing Parts
Drawings (informal):	☐ Transmittal Letter (original & copy)
# of sheets includes figures	Express Mail No.: EV310 856 484US
Other:	☐ Credit Card Payment \$1,100.00 and \$40.00
Credit Card Payment Forms; Requete PCT; PCT/IB/311;	Deposit Acct. No. 50-1698 \$
WO 2005/053775; PCT/ISA/220; PCT/ISA/210; PCT/ISA/237; PCT/IB/308; PCT/IPEA/401	Patent Code: 1011, 1111, 1311



Declaration, Power Of Attorney and Petition

Page 1 of 3

WE (1) the undersigned inventor(s), hereby declare(s) that :

	. II	N VIVO DIAGNOSTIC A	AND THERAPY MICRO-	DEVICE.	
the specificat	ion of which				
	is attached	d hereto.			
	was filed	on			
	as Appli	cation Serial No.			
•	and ame	nded on			ŕ
	was filed	as PCT international ap	plication		
	Number	PCT/FR2004/050602			
	on Nove	mber 19, 2004	•	•	
•	and was	amended under PCT A	rticle 19		
•	on	•	,		•
		nave reviewed and unders diment referred to above.	stand the contents of the	above-identified specification,	includin
the claims, as We (I) ac	amended by any amen	dment referred to above. disclose information know	· .	above-identified specification,	
We (I) ac in Section 1.3 We (I) he patent or inv than the Unit inventor's ce	amended by any amen knowledge the duty to 66 of Title 37 Code of I reby claim foreign pri- entor's certificate, or § ed States, listed below	dment referred to above. disclose information know rederal Regulations. ority benefits under 35 U 365 (a) of any PCT Interest and have also identified actional application havin	on to be material to the particle. S.C. § 119 (a)-(d) or § 3 renational application which below, by checking the below.	atentability of this application at the first application and the first application for the application for the application on which is the application of the application on which is the application of the a	ion(s) for
We (I) ac in Section 1.3 We (I) he patent or inv than the Unit inventor's ce claimed. Price	knowledge the duty to 66 of Title 37 Code of Foreby claim foreign prientor's certificate, or § ed States, listed below retificate, or PCT Internal	dment referred to above. disclose information know rederal Regulations. ority benefits under 35 U 365 (a) of any PCT Interest and have also identified actional application havin	on to be material to the particle. S.C. § 119 (a)-(d) or § 3 renational application which below, by checking the below.	atentability of this application at 65 (b) of any foreign application designated at least one country foreign application for the application on which priority	ion(s) for
We (I) ac in Section 1 We (I) he patent or inv than the Unit inventor's ce claimed. Price	knowledge the duty to 66 of Title 37 Code of Foreby claim foreign prientor's certificate, or § ed States, listed below trificate, or PCT Intermar Foreign Application (dment referred to above. disclose information know rederal Regulations. ority benefits under 35 U 365 (a) of any PCT Inte and have also identified actional application havins)	own to be material to the parts. S.C. § 119 (a)-(d) or § 3 renational application which below, by checking the beg a filing date before that	atentability of this application at 65 (b) of any foreign application designated at least one country foreign application for the application on which priority	ion(s) for the patent of priority

Page 2 of 3 Declaration

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	(Application Nu	mber)	(Filing Date)	 .
¥1	(Application Nu	mber)	(Filing Date)	
subject matter of each provided by 35 U.S.C	of the claims of this appli C. § 112, I acknowledge the	cation is not disclosed in the duty to disclose materia	these prior United Stat al information as defir	isted below and, insofar as these application(s) in the manneded in 37 CFR § 1.56(a) which filing date of this application.
Applicatio	n Serial No.	Filing Date	St	atus (pending, patented, abandoned)
	d Trademark Office cond he above-named attorne			iou by moragroound
		rrespondence and direc	t all telephone calls	ro:
made on information a wilful false statements of the United States (d declare that all statemen and belief are believed to be and the like so made are p	rrespondence and direct Customer Number 46, ats made herein of my(our be true and further that the bunishable by fine or impressions.	t all telephone calls 188) own knowledge are se statements were ma isonment, or both, und	true and that all statements de with the knowledge that er Section 1001 of Title 18 e application or any patent
made on information a wilful false statements of the United States (d declare that all statement and belief are believed to be and the like so made are p Code, and such wilful fals	rrespondence and direct Customer Number 46, ats made herein of my(our true and further that the bunishable by fine or imprese statements may jeopard	t all telephone calls 188) own knowledge are se statements were ma isonment, or both, und lize the validity of the	true and that all statements de with the knowledge that er Section 1001 of Title 18 e application or any patent
made on information a wilful false statements of the United States Classuing therefrom. RIVERA Florence NAME OF FIRS	d declare that all statement and belief are believed to be and the like so made are produced, and such wilful falson. T SOLE INVENTOR	rrespondence and direct Customer Number 46, ats made herein of my(our pe true and further that the punishable by fine or improve statements may jeopard Reside	t all telephone calls 188) own knowledge are se statements were ma isonment, or both, und lize the validity of the	true and that all statements de with the knowledge that er Section 1001 of Title 18 er application or any patent
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made on information a wilful false statements of the United States C issuing therefrom. RIVERA Florence NAME OF FIRS	d declare that all statement and belief are believed to be and the like so made are produced, and such wilful falso are produced to be and the like so made are produced to be and such wilful falso are produced to be and such wilful falso are produced to be and the like so made are produced to be and the like so made are produced to be a such as	rrespondence and direct Customer Number 46, ats made herein of my(our pe true and further that the punishable by fine or improve statements may jeopard Reside 401	t all telephone calls 188) own knowledge are se statements were ma isonment, or both, und lize the validity of the made are 80 Tercis le	true and that all statements de with the knowledge that er Section 1001 of Title 18 er application or any patent Lu D ^c Du Couman s bains

CAILLAT Patrice	Residence: Brue de la Muce
NAME OF SECOND INVENTOR	Residence: Some de la Muie 38000 granoble FRANCE
aided	Citizen of: hance
Signature of Inventor	Post Office Address : The same as residence
May 5, 2006 Date	
COCHET Martine	Residence: 39, Route de Sait Jean
NAME OF THIRD INVENTOR	Residence: 39, Route de Sait Jean 38430 MoiRANS
Coult By	Citizen of: FRANCE
Signature of Inventor	Post Office Address: The same as residence
May 5, 2006 Date	
BERTHIER Jean	Residence: 9, les Florentines
NAME OF FOURTH INVENTOR	Residence: 8, les Florentines 38240 Meylan FRANCE
1/But	Citizen of: FRANCE
Signature of Inventor May 5, 2006	Post Office Address: The same as residence
Date	
· · · · · · · · · · · · · · · · · · ·	Residence:
NAME OF FIFTH INVENTOR	
Signature of Inventor	Citizen of:
Signature of inventor	Post Office Address: The same as residence

Date



Serial/Patent No.: 10/580,453	Filing/Issue Date: 05-23-2006
Applicant: Florence Rivera et al.	
Title: In Vivo Diagnostic And Theraby Micro-Device	Woned PCT/PTO 02 APR 2007
TRP Docket No.: 034299-698	Atty/Secty Initials: REK/gw
Date Mailed: 3-30-017	Docket Due Date:
The following has been received in the U.S. Patent & Tr.	ademark Office on the date stamped hereon:
☐ Amendment/Response (pgs.)	☐ IDS & PTO 1449 (pgs.)
Appeal Brief (pgs.) (in triplicate)	Pieces of Prior Art Enclosed
Application - Utility (pgs w/cover & abstract)	☐ Issue Fee Transmittal
Application Rule 1.53(b) Continuation (pgs.)	☐ Submission of Formal Drawings:
Application Rule 1.53(b) Division (pgs.)	# of sheets includes figures
Application - Rule 1.53(b) CIP (pgs.)	☐ Notice of Appeal
Application Rule 1.53(d) CPA (pgs.)	□ Postcard □
Application PCT (pgs.)	Preliminary Amendment (pgs.)
Application - Provisional (pgs.)	Reply Brief (pgs.)
Assignment and Cover Sheet	Req and Cert. Not to Publish Rule 1.213
Certificate of Correction	Request for Continued Examination (RCE) (pg.)
○ Certificate of Mailing	Request for Extension of Time Month(s)
Declaration & POA (pgs.)	Response to Notification of File Missing Parts
Fee Transmittal	☐ Transmittal Letter (original)
☐ Drawings (Formal):	Express Mail No.:
_# of sheets	Credit Card Payment Form 2038 \$
Other:	Deposit Acct. No. 50-1698 \$
Copies of the Declaration & POA; Transmittal Letter; Returned Postcard; Notification of Missing Requirement Under 35 U.S.C 371.	Patent Code: nts Client/Matter # 034299-698
	and the second s



PTO/SB/21 (07-06)
Approve.... use through 09/30/2006, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TO A NOBALTTAL		Application Number	10/580,453	\
FORM		Filing Date	May 23, 20	006
OCI 2 2 2007		First Named Inventor	Florence R	livera
OCI Z 3		Art Unit	Not Yet As	signed
(to be used all correspondence after initial filing)		Examiner Name	Not Yet As	signed
Total All Aber of Pages in This Submission 12		Attorney Docket Number	034299-69	8
ENCLOSURES (check all that apply)				
Fee Transmittal Form Drawing(s)			After Allo	owance Communication to TC
Fee Attached	Licensing-related Papers			Communication to Board
	Petition	· · · · · · · · · · · · · · · · · · ·		als and Interferences Communication to TC
Amendment / Reply				lotice, Brief, Reply Brief)
After Final		Convert to a al Application	Proprieta	ary Information
Affidavits/declaration(s)	Power of	Attorney, Revocation		
— Amaaviisidecialation(s)		of Correspondence Address	Status L	enter
Extension of Time Request	Terminal	Disclaimer		nclosure(s) lentify below):
Express Abandonment Request	Request f	for Refund	Copies of the	e Declaration & POA; Letter; Returned Postcard;
	CD, Num	ber of CD(s)	Notification of	of Missing Requirements Under
Information Disclosure Statement	☐ Lar	ndscape Table on CD	35 U.S.C 371; Postcard.	
Certified Copy of Priority Document(s)	Remarks			
Reply to Notification of Missing Requirements Under 35 U.S.C 371				
Reply to Missing Parts under 37 CFR1.52 or 1.53				•
SIGN	ATURE OF	APPLICANT, ATTORNEY, O	R AGENT	
Firm	THELEN REID	BROWN RAYSMAN & STEINER LLP		
Signature	12	WILW		
Printed Name	Robert E. Krebs			
Date	30m	2002 Reg.	25,885	
	CERTIFICA	TE OF TRANSMISSION/MAI	LING	
I hereby certify that this correspondent Service with sufficient postage as first Alexandria, VA 22313-1450 on the date	st class mail i	in an envelope addressed to: (or deposited Commissioner	with the United States Postal for Patents, P.O. Box 1450,
Signature // in	ug K	alla		
Typed or printed name Geneva V			Date	3-30-07
/ /				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No.: 034299-698

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Florence Rivera et al.

SERIAL NO.:

10/580,453

CONFIRMATION NO.: 4203

FILING DATE:

May 23, 2006

TITLE:

In Vivo Diagnostic And Therapy Micro-Device

EXAMINER:

Not Yet Assigned

ART UNIT:

Not Yet Assigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: mail stop PCT, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on the date printed below:

Date: 3-30-07

Signature:

Geneva Walls

Mail Stop: PCT

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Dear Sir:

This paper is in responsive to the Notification of Missing Requirements under 35 U.S.C. 371 dated February 21, 2007 to the above-identified application.

Remarks/Arguments begin on page 2 of this paper.

Docket No.: 034299-698

REMARKS/ARGUMENTS

Attached hereto is a copy of the Declaration as originally filed in the United States Patent Office on May 23, 2006 which identifies the application by the International application number and International filing date in compliance with 37 CFR 1.497(a); a copy of the Transmittal Letter PTO-1390 form where Applicant herewith submits the following item noted on line number nine (9) and a copy of the return postcard identifying submission of the Declaration and Power of Attorney. Accordingly, it is hereby respectfully requested that the requirement for a Oath or Declaration of the inventors be withdrawn. Also, a copy of the Notification of Missing Requirements under U.S.C. 371 is enclosed.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,

THELEN REID BROWN RAYSMAN & STEINER LLP

Dated: March \mathcal{D} , 2007

Robert E. Krebs

Reg. No. 25,885

THELEN REID BROWN RAYSMAN & STEINER LLP

P.O. Box 640640

San Jose, CA 95164-0640

Tel: (408) 292-5800

Fax: (408) 287-8040

JOCKETED JUN 12 2006

	Serial/Palent.No.: unassigned	Filing/Issue Date:
	Applicant: RIVERA, Florence et al.	
	Title: IN VIVO DIAGNOSTIC AND THERAPY MICRO-DEV	VICE CCC
	AD7	The same and the same of the s
	TRP Docket No.: 034299-000698	Atty/Secty Initials: REK/Ih
	Date Mailed:	Docket Due Date: 5/27/06
•	The following has been received in the U.S. Patent & Tradema	al Office of the Date: 3/2//06
	Amendment/Response (pgs.)	
	Appeal Brief (pgs.) (in triplicate)	☐ IDS & PTO 1449 (pgs.)
		Pieces of Prior Art Enclosed
	Application - Utility (25 pgs. with cover & abstract) Application - Rule 1 53(h) Continuation (25 pgs.)	Issue Fee Transmittal
	- The result of the page of th	Submission of Formal Drawings:
3	Application – Rule 1.53(b) Division (pgs.)	10 # of sheets includes 11 figures
1	Application Rule 1.53(b) (IP (pgs.)	☐ Notice of Appeal
1	Application Rule 1.53(d) CPA (pgs.)	□ Postcard □
-]	Application – PCT (pgs)	Preliminary Amendment (3 pgs.)
	Application - Provisional (pgs.)	Reply Brief (pgs.)
	Assignment and Cover Sheet	Req and Cert. Not to Publish – Rule 1.213
	Certificate of Correction	Request for Continued Examination (RCE) (pgs.)
	Certificate of Mailing	Request for Extension of Time Month(s)
	Declaration & POA (3 pgs.)	Response to Notice to File Missing Parts
	☐ Fee Transmittal	Copy of PTO Notice to File Missing Parts
	Drawings (informal):	☐ Transmittal Letter (original & copy)
	# of sheets includes figures	Express Mail No.: EV310 856 484US
	Other:	Credit Card Pormont \$1 100 00 - 1 540 00
	Credit Card Payment Forms; Requete PCT; PCT/IB/311;	Credit Card Payment \$1.100.00 and \$40.00
	WO 2005/053775; PCT/ISA/220; PCT/ISA/210;	Deposit Acct. No. 50-1698 \$
	PCT/ISA/237; PCT/IB/308; PCT/IPEA/401	Patent Code: 1011, 1111, 1311
	to produce the product of the production and the production of the	Client/Matter # <u>34299 - 698</u>



PTO-1390 (Rev. 07-2005)

if use through 3/31/2007, OMB 0651-0021

U.S. Patent , .ce; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection or innovirmation unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO		ATTORNEY'S DOCKET NUMBER
DESIGNATED/ELECTED OFFICE (DO/EO/US)		034299-000698
CONCERNING A SUBMISSION		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/FR2004/050602	November 19, 2004	November 27, 2003
TITLE OF INVENTION IN VIVO DIAGNOSTIC AND THERAPY MICRO-D	DEVICE	
	· · · · · · · · · · · · · · · · · · ·	
APPLICANT(S) FOR DO/EO/US		
RIVERA, Florence, CAILLAT, Patrice, COCHET, I	Martine, BERTHIER, Jean	
Applicant herewith submits to the United States D	esignated/Elected Office (DO/EO/LIS) the	e following items and other information:
<u> </u>	ncerning a submission under 35 U.S.C. 3	_
	submission of items concerning a submiss	
_	ional examination procedures (35 U.S.C.	
items (5), (6), (9) and (21) indicated bel		or I(i)). The submission must madde
4. The US has been elected (Article 31).		
5. A copy of the International Application a	as filed (35 U.S.C. 371(c)(2))	
a. X is attached hereto (required	only if not communicated by the Internati	onal Bureau).
b. has been communicated by	the International Bureau.	
c. is not required, as the applic	cation was filed in the United States Rece	iving Office (RO/US).
6. An English language translation of the I	International Application as filed (35 U.S.	C. 371(c)(2)).
a. is attached hereto.		
b. has been previously submit	ted under 35 U.S.C. 154(d)(4).	
7. Amendments to the claims of the Inter	mational Application under PCT Article 19	(35 U.S.C. 371(c)(3))
a. X are attached hereto (require	ed only if not communicated by the Interna	ational Bureau).
b. have been communicated b	y the International Bureau.	
c. have not been made; however	ver, the time limit for making such amend	ments has NOT expired.
d. have not been made and wi	ill not be made.	
8. An English language translation of the	amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371 (c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10. An English language translation of the a Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary E	xamination Report under PCT
Items 11 to 20 below concern document	(s) or information included:	•
11. An Information Disclosure Statement un	nder 37 CFR 1.97 and 1.98.	
12. An assignment document for recording.	. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.		
14. An Application Data Sheet under 37 CF	FR 1.76.	CA3100E1160112
15. A substitute specification.		EV37092P494NZ
.16. A power of attorney and/or change of a	ddress letter.	
17. A computer-readable form of the seque	ence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821 - 1.825.
18. A second copy of the published Interna	tional Application under 35 U.S.C. 154(d)	(4).
19. A second copy of the English language	translation of the International Application	n under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 07-2005)
for use through 3/31/2007, OMB 0651-021
iffice; U.S. DEPARTMENT OF COMMERCE
unless it displays a valid OMB control number.

U.S. Patent au
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information

ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. 034299-000698 PCT/FR2004/050602 Other items or information: 20. Requete PCT, PCT/IB/311, WO 2005/053775, PCT/ISA/220, PCT/ISA/210, PCT/ISA/237, PCT/IB/308; PCT/IPEA/401 PTO use only Calculations The following fees have been submitted: 21. Basic national fee (37 CFR 1.492(a))......\$300 \$ 300 22. X Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations\$200 200 \$ 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 400 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations\$500 900 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE Total Sheets Extra Sheets thereof (round up to a whole number) x \$250 \$ /50 = . - 100 = Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). RATE NUMBER FILED NUMBER EXTRA CLAIMS \$50 \$ 24 - 20 = 200 Total claims x \$200 \$ 0 Independent daims + \$360 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = 1,100 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 1,100 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$ TOTAL NATIONAL FEE = 1,100 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied S 40 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 1,140 Amount to be refunded: Amount to be charged:

B14485.3 LP



Declaration, Power Of Attorney and Petition

Page 1 of 3

WE (1) the undersigned invent	or(s), nereby declare(s) that	· :	
My residence, post office addr	ess and citizenship are as st	ated below next to my name,	
We (I) believe that we are (I for which a patent is sought on the		oint (sole) inventor(s) of the sub	pject matter which is claimed and
	IN VIVO DIAGNOSTIC	AND THERAPY MICRO-DEVI	CE
the specification of which			
is attach	ed hereto.		·
— ☐ was file	·		
as App	lication Serial No.	•	·
and an	ended on		· .
⊠ was file	d as PCT international ap	pplication	
Numbe	er PCT/FR2004/050602		
on Nov	vember 19, 2004		
and wa	s amended under PCT A	rticle 19	
on		. •	
We (I) hereby state that we (I the claims, as amended by any amended by a supplementation by a supplement) have reviewed and undersendment referred to above.	stand the contents of the above-i	dentified specification, including
We (I) acknowledge the duty to in Section 1.56 of Title 37 Code of	o disclose information knov Federal Regulations.	vn to be material to the patentab	ility of this application as defined
We (I) hereby claim foreign p patent or inventor's certificate, or than the United States, listed belo inventor's certificate, or PCT Inte claimed. Prior Foreign Application	§ 365 (a) of any PCT Inter w and have also identified I mational application having	rnational application which designed	foreign application for patent or
		_	Priority
Application No.	Country	Day/month/Year	Claimed
03 50919	FRANCE	27/11/2003	YES NO
04 50446	FRANCE	04/03/2004	☐ YES ☐ NO ☑ YES ☐ NO

Page 2 of 3 Declaration

we (1) hereby claim the below.	enent under Thie 33, Onke	ed States Code, § 119 (e) o	f any United States provisional
- -	(Application Number)		Filing Date)
· -	(Application Number)		Filing Date)
oject matter of each of the ovided by 35 U.S.C. § 11	claims of this application i 2, I acknowledge the duty	s not disclosed in these pri to disclose material inform	pplication(s), listed below and, insofar as for United States application(s) in the manipulation as defined in 37 CFR § 1.56(a) who International filing date of this application
Application Serie	al No.	Filing Date	Status (pending, patented, abandoned)
We (I) undersigned decla de on information and beliful false statements and the	are that all statements made ief are believed to be true a e like so made are punishab	mer Number 46,188 herein of my(our) own k and further that these states ble by fine or imprisonmen	ephone calls to: nowledge are true and that all statements ments were made with the knowledge that at, or both, under Section 1001 of Title 18 validity of the application or any patent
uing therefrom.			
RIVERA Florence		Residence :	15, rue du De Du Couman
NAME OF FIRST SOL	E INVENTOR	40180 FRAN	•
Signature of Inventor		•	FRANCE ddress: The same as residence
May 5, 2006			

CAILLAT Patrice	Residence: Boue de la Mule
NAME OF SECOND INVENTOR	38000 grenoble
// 01	Citizen of: hance
Signature of Inventor	Post Office Address: The same as residence
<u>May 5, 2006</u> Date	
COCHET Martine	Residence: 39, Route de Sait Jean
NAME OF THIRD INVENTOR	38430 MoiRANS
Cocket W	Citizen of: FRANCE
Signature of Inventor	Post Office Address: The same as residence
May_5,_2006	
Date	
BERTHIER Jean	Residence
NAME OF FOURTH INVENTOR	Residence: 8, les Florentines 38240 Meylan FRANCE
1 A	FRANCE
1/2WL	Citizen of: FRANCE
Signature of Inventor	Post Office Address: The same as residence
<u>May 5, 2006</u> Date	
	Residence:
NAME OF FIFTH INVENTOR	
	Citizen of:
Signature of Inventor	Post Office Address: The same as residence

Date

PTO-1390 (Rev. 07-2005) through 3/31/2007, OMB 0651-0021 S. DEPARTMENT OF COMMERCEunit utiless it displays a valid OMB control number.

U.S. Patent Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection.

a. A check in the amount of \$	to cover the above fees is enclosed	sed.
b. Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed.	50-1698 in the amount of \$	to cover the above fees.
c. The Commissioner is hereby authorized to c	charge any additional fees which may be requ	uired, or credit any
overpayment to Deposit Account No.	50-1698 . A duplicate copy of t	
d. X Fees are to be charged to a credit card. WA	ARNING: Information on this form may become	me public. Credit card
information should not be included on th	is form. Provide credit card information and	Fauthorization-on-P-FO-2038.
NOTE: Where an appropriate time limit under 37	CFR 1.495 has not been met, a petition to	o revive (37 CFR 1.137 (a) or (b)) must be filed
and granted to restore the International Applicat	ion to pending status.	
	•	
SEND ALL CORRESPONDENCE TO:	. /	111110/10/
Robert E. Krebs	·	(A) (A)
Thelen Reid & Priest LLP		SIGNATURE W
P.O. Box 640640		
San Jose, CA 95164-0640 T: 408-292-5800		Robert E. Krebs
F: 408-287-8040		NAME
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		25,885 REGISTRATION NUMBER
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United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	AT	Y. DOCKET NO.
10/580,453	Florence Rivera	. 03	4299-000698
		INTERNATIONAL A	PPLICATION NO.
	Pro-	PCT/FR0	1/50602
Robert E. Krebs	RECEIVED	I.A. FILING DATE	PRIORITY DATE
Thelen Reid & Priest P.O. Box 640640	FEB 2 3 2007	11/19/2004	11/27/2003
San Jose, CA 95164-0640	TRBRS LLP 371 FORMALITIES LETTER		LETTER

Date Mailed: 02/21/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/23/2006
- Copy of the International Search Report filed on 05/23/2006
- Copy of IPE Report filed on 05/23/2006
- Copy of Annexes to the IPER filed on 05/23/2006
- Preliminary Amendments filed on 05/23/2006
- Information Disclosure Statements filed on 09/05/2006
- Request for Immediate Examination filed on 05/23/2006

U.S. Basic National Fees filed on 05/23/2006

Assignment filed on 05/23/2006

Priority Documents filed on 05/23/2006

Specification filed on 05/23/2006

Claims filed on 05/23/2006

Abstracts filed on 05/23/2006

Drawings filed on 05/23/2006

DOCKETED BY:

Parts Due Missing **ACTION DUE:** 4121107 DUE/RMR DATE: 9121107 DEADLINE DATE:

OC000000022566151

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$90 for a Large Entity:

• \$130 Surcharge.

(A previous payment of \$40 will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

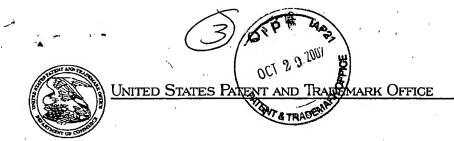
PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/580,453	PCT/FR04/50602	034299-000698

FORM PCT/DO/EO/905 (371 Formalities Notice)



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/580,453

Florence Rivera

INTERNATIONAL APPLICATION NO.

PCT/FR04/50602

I.A. FILING DATE

PRIORITY DATE

11/19/2004

11/27/2003

46188
THELEN REID BROWN RAYSMAN & STEINER LLP
P. O. BOX 640640
SAN JOSE, CA 95164-0640

RECEIVED

AUG 2 4 2007

TRBRS LLP Patent Docket

CONFIRMATION NO. 4203
371 ACCEPTANCE LETTER

OC000000025455759

Date Mailed: 08/21/2007

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/23/2006

05/23/2006

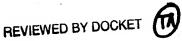
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 05/23/2006
- Copy of the International Search Report filed on 05/23/2006
- Copy of IPE Report filed on 05/23/2006
- Copy of Annexes to the IPER filed on 05/23/2006
- Preliminary Amendments filed on 05/23/2006
- Information Disclosure Statements filed on 09/05/2006
- Oath or Declaration filed on 04/02/2007
- Request for Immediate Examination filed on 05/23/2006
- U.S. Basic National Fees filed on 05/23/2006
- Assignment filed on 05/23/2006
- Priority Documents filed on 05/23/2006



- Specification filed on 05/23/2006
- Claims filed on 05/23/2006
- Abstracts filed on 05/23/2006
- Drawings filed on 05/23/2006

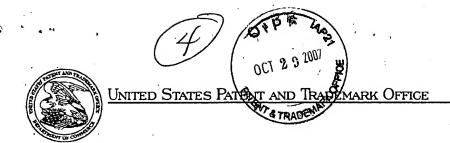
Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

ULYSSES G WALKER

Telephone: (703) 308-9290 EXT 130

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371(c) DATE ART UNIT FIL FEE REC'D APPL NO. ATTY.DOCKET NO **TOT CLMS** IND CLMS 034299-009698 3738 1230 24 3 10/580:453 04/02/2007 05/23/06

CONFIRMATION NO. 4203

46188 THELEN REID BROWN RAYSMAN & STEINER LLP RECEIVED P. O. BOX 640640 SAN JOSE, CA 95164-0640

AUG 2 4 2007

TRBRS LLP Patent Docket FILING RECEIPT OC000000025455758*

Date Mailed: 08/21/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Florence Rivera, Tercis les bains, FRANCE; Patrice Caillat, Grenoble, FRANCE: Martine Cochet, Moirans, FRANCE; Jean Berthier, Meylan, FRANCE;

Power of Attorney: The patent practitioners associated with Customer Number 46188.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/FR04/50602 11/19/2004

Foreign Applications

FRANCE 03 50919 11/27/2003 FRANCE 04 50446 03/04/2004

If Required, Foreign Filing License Granted: 08/17/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/580,453**

Projected Publication Date: 11/29/2007

Non-Publication Request: No



Early Publication Request: No

Title

In Vivo Diagnostic and Therapy Micro-Device

Preliminary Class

623

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTÉD" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).